

**OFFICE OF THE STATE CONTROLLER  
1998 CAFR FOOTNOTES WORKSHEET**

Worksheet  
C-6

**INVESTMENTS HELD OUTSIDE THE STATE TREASURER  
NOT HELD BY A FISCAL AGENT**

CAFR Footnote No. 4

FRU No. \_\_\_\_\_

GASB Fund No. \_\_\_\_\_

Agency/Univ. Name: \_\_\_\_\_

**NOTE: Only one GASB fund type per worksheet.** Preparer/Phone: \_\_\_\_\_

NCAS		Carrying Value			
Account No.	Type of Investment	Carrying Value (A)	Level of Risk - Category		
			- 1 - (B)	- 2 - (C)	- 3 - (D)
<b>(Cost) Investments Categorized:</b>					
112101	U.S. Government securities [ 1 ]				
112124	Collateralized Mortgage Obligations [ 2 ] (see pages 4 and 5)				
112102	State and municipal securities [ 3 ]				
112103	Corporate bonds [ 4 ]				
112104	Corporate stock(common&preferred)[ 5 ]				
112105	Repurchase agreements (REPO) [ 6 ]				
112106	Commercial paper [ 7 ]				
112107	Bankers' acceptances [ 8 ]				
112110	International bonds - corporate [ 9 ]				
112111	International bonds - government [ 10 ]				
112112	International stocks [ 11 ]				
	<b>Total Categorized (add lines 1 - 11) [ 12 ]</b>				
<b>Investments Not Categorized:</b>					
112113	Certificates of deposit [ 13 ]				
112114	Investment agreements [ 14 ]				
112115	Bank investment contracts [ 15 ]				
112116	Money market funds [ 16 ]				
112117	Mutual funds [ 17 ]				
112108	Annuity contracts [ 18 ]				
112109	Investment in real estate [ 19 ]				
112118	Real Estate Investment Trust [ 20 ]				
112119	Limited partnerships [ 21 ]				
112120	Reverse repurchase agreements [ 22 ]				
112123	Pooled investments (e.g.; life income pools) [ 23 ]				
112190	Other investments: _____ [ 24 ] (identify)				
	<b>Total Not Categorized (lines 13 - 24) [ 25 ]</b>				
	<b>Total - Investments Held Outside the State Treasurer (add lines 12 and 25) [ 26 ]</b>				

**Fair Value**

**Note:** On lines 1-12, the sum of columns (B), (C) and (D) must equal column (A).

**Note:** Carrying value should equal fair value(market), except for C.D.'s, investment agreements, bank investment contracts, Investment in real estate, Real Estate Investment Trust, and Limited partnerships(use cost basis).